



Training

'Successfully conducting a **SIRA**'

The law requires certain financial institutions to conduct a systematic integrity risk analysis (SIRA). The purpose is to identify the inherent integrity risks in order to take appropriate measures to control these risks.

Regulators DNB and AFM pay special attention to the SIRA. This training helps you to successfully conduct a SIRA and thus meet the requirements of the regulators.

Tailored to your work environment

In practice, the process of conducting a systematic integrity risk analysis is often similar. However, the context in which you conduct a SIRA differs from organization to organization. The background of the people involved is also diverse. During this training we take these differences into account as much as possible. No 'one size fits all' approach, but sufficient attention for your specific knowledge and experience and the environment in which you work.

Focus on a practical assignment

To make this training as practical as possible, you will focus on conducting a SIRA yourself. We will start the course with a short kick-off. After you have gone through the theory in our online learning environment, you will carry out an assignment in your own organization.

After handing in the assignment, you will receive individual feedback from the trainer. Then, we discuss the pitfalls and best practices with each other during a plenary meeting.

Target audience

Banks, insurers, pension funds and trust offices are all obliged to perform a SIRA. However, a SIRA is also a good way for other financial organizations to gain insight into integrity risks and their control.

This training has therefore been developed for everyone from the first, second or third line who is directly involved in performing a SIRA and wants to learn how to do this successfully.

Prior knowledge

This is a training at HBO (higher vocational education) level. As a starting point, we assume that you have a good idea of the integrity risks that a financial organization can face and how these can be managed.

If the group consists of a mix of English and Dutch speaking participants, English will be spoken during the plenary meetings. The theory is available in Dutch and English. You can decide whether you want to do the assignments in Dutch or English.



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Objective

During this course you learn to:

- Formulate a working method for conducting a SIRA;
- Provide an outline of your organization with the right quantitative and qualitative elements;
- Identify integrity risk scenarios for your organization and analyze them using the DNB template;
- Visualize the results of the integrity risk analysis in a heatmap as a basis for discussion with the management team.

Structure

This course consists of the following components:

- Kick-off meeting via Teams to introduce the training;
- Self-study via the online learning environment;
- Carrying out the assignment in your own organization;
- Individual feedback on your assignment;
- Plenary meeting to discuss elaborations, best practices, pitfalls, etc.;
- If necessary: refining the details of the assignment.

Theory

The theory in our online learning environment consists of the following topics:

- Integrity
- Steps SIRA
- Practical tips SIRA
- Risks and control measures
- Analysis of control
- Context of the SIRA - ANNEX
 - Sound business practice
 - From norm to control
 - Conduct investigations

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A central element of the training is conducting a SIRA in your own context.

Assessment

Central to the course is doing a SIRA in your own context. The elaboration of this is the testing element of this course. The resit consists of improving previous assignments based on the plenary session, the substantiation of the assessment and the tips provided by the trainer.

Once you have completed the assignment to a satisfactory standard, you will receive a certificate



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Study load

This course takes about a month in total. The study load varies per student, depending on relevant prior knowledge and experience.

- Kick-off meeting: 1 hour
- Self-study: 1-3 hours
- Carrying out the assignment: 16 hours
- Discussion meeting: 8 hours

Costs

The costs per participant are €1195,-

More information

On our website you will find more information about our training 'Successfully conducting a SIRA' and upcoming starting dates.

For more information or questions, please get in touch with our learning specialists.



Register now →