



MiFID II Awareness

A few thousand pages, that's how voluminous the Markets in Financial Instruments Directive II (MiFID II) and its related regulations and guidance documents are. MiFID II aims to make the European financial markets more transparent and to protect investors. All financial institutions must comply with MiFID II if they want to offer investment products or ancillary services. How do you find your way through all these rules? And is everything applicable to your work environment?

In this training, we familiarise you with the main aspects of the MiFID II regulations that apply to investment firms and financial markets. These include investment advice and asset management, but also execution-only services and trading on stock exchanges. In addition, the rules regarding the provision of information to investors from other regulations will be discussed. We cover eight important themes. Upon completion of the course you will know what the regulations on these topics are and how to comply with them in practice.

Target audience

MiFID II applies to all parties engaged in investment services and activities, from banks and asset managers to exchanges and trading venues. This awareness e-learning is therefore relevant to all professionals working for European parties active in the trading of financial instruments.

Education level

This is an e-learning at HBO (higher vocational education) level. Specific knowledge is not required, but we do assume that you have basic knowledge of financial services.

Course content

You can follow the e-learning in our online learning environment, whenever and wherever you want.

We start each topic with a short case study and end with an example. In the case study, we ask you to list your thoughts on the subject. You can see this case as a kind of baseline measurement. It helps you to get to grips with the subject and to test what you already know about it. In the feedback we give a first direction for the elaboration.



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Theory

The themes covered in this training are:

- Conflict of interest and best execution;
- Suitability and appropriateness;
- Client classification;
- Disclosure, cost transparency and client reporting;
- Product development and distribution;
- Provision ban;
- Trading rules and trading platforms;
- Transaction reporting.

Each topic ends with the assessment of a situation. These situations allow you to test whether you know and have understood the material.

Objective

Upon completion of this training, you will be able to determine – for each of the topics – how to act (or not to act) in simple situations.

Study load

The e-learning takes between two and three hours to complete, depending on your prior knowledge.

More information

You can find more information about the MiFID II Awareness e-learning on our website.

Want to know more? Request a free demo of the training or get in touch with our learning specialists

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