



# **MiFID II** and sustainability preferences

On March 8, 2018, the European Commission published its Action Plan on Financing Sustainable Growth. This Action Plan resulted in several laws and regulations, aiming to make the European economy more sustainable. These include the SFDR (Sustainable Finance Disclosure Regulation) and changes to MiFID II. One of the changes is the introduction of the obligation to list the sustainability preferences of the investing client. This may sound simple, but in practice it is anything but. In this e-learning, we will guide you through the requirements surrounding sustainability preferences in the suitability assessment. We cover the theory and give practical examples that show the consequences for investment advice and asset management.

## **Target audience**

The requirements regarding sustainability preferences deriving from MiFID II apply to all parties involved in investment advice and asset management, from investment firms to banks that provide investment services. This e-learning is therefore relevant to all professionals who work for these types of parties within Europe.

## **Education level**

This is a training at higher vocational education (HBO) level. We assume that you have general knowledge of investing and the requirements of the Wft regarding suitability testing.

## **Objective**

This e-learning teaches you how to apply the new rules about the inventory of sustainability preferences in the suitability assessment. You will learn to:

- Distinguish the concepts of sustainability preferences and sustainability factors;
- Distinguish the different categories of sustainable financial instruments from MiFID II and SFDR;
- Describe how Article 54 MiFID II is changing due to the introduction of sustainability preferences;
- Describe the implications for investment advice and asset management;
- Apply the new requirements to the inventory of sustainability preferences in client situations.

## **Study load**

The e-learning takes between two and three hours to complete, depending on your prior knowledge of MiFID II.



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## **Baseline measurement**

The e-learning starts with a baseline measurement to determine what you already know about the subject.

## **Course content**

You can follow the e-learning in our online learning environment, whenever and wherever you want.

The program consists of:

- Introduction
  - Background
- Regulatory framework
  - Delegated Regulation 2021/1253
  - Articles 6, 8 and 9 SFDR
  - Article 54 MiFID II
- Practical implications
  - Advice and management
  - Practical problems
  - Practical examples
  - Step-by-step plan

## **Practical examples**

In the 'Practical Problems' section you will find short descriptions of practical problems you may encounter. For each problem an open question is asked. The model answer then gives direction on how you should respond to such situations.

The e-learning ends with a step-by-step plan to help you incorporate the client's sustainability preferences into the portfolio or the advice.

## **Test**

You complete this course with a test in the learning environment. You can also take any resits in the learning environment.

After passing the test, you can immediately download your personal certificate from the learning environment.

## **More information**

You can find more information about the **MiFID II and sustainability preferences e-learning** on our website.

Want to know more? Request a free demo of the training or get in touch with our learning specialists.

→ Get in touch

→ Request a demo

**Register →**